

FORM NO. MGT-9 EXTRACT OF ANNUAL RETURN

As on 31st March, 2017

[Pursuant to Section 92(1) of the Companies Act, 2013 and rules made thereunder]

I. Registration and Other Details:

i)	CIN	:	L40106GJ2010PLC091880
ii)	Registration Date	:	11 th August, 2010
iii)	Name of the Company	:	S. E. Power Limited
iv)	Category / Sub-Category of the Company	:	Company Limited by Shares, Indian Non Government Company
v)	Address of the Registered Office and contact details	:	Survey No. 54/B, Pratapnagar, Jarod-Savli Road, Samlaya, Vadodara-391520 (Gujarat) Phone: +91 2667 251566 E-mail: cs@sepower.in
vi)	Whether listed company	:	Equity Shares of the Company Listed on i) BSE Limited ii) National Stock Exchange of India Limited
vii)	Name, Address and contact details of Registrar & Share Transfer Agent (RTA)	:	Alankit Assignments Limited Alankit Heights, 1E/13, Jhandewalan Extension, New Delhi-110055 Email - info@alankit.com Tel No. - +91 1142541234

II. Principal Business Activities of the Company:

All the business activities contributing 10 % or more of the total turnover of the Company shall be stated-

S. No.	Name and Description of main products / services	NIC Code of the Product/ service*	% to total turnover of the company
1.	Generation of Energy through Non-Conventional Sources	Class 3510	12.20
2.	Manufacturing of Reclaimed Rubber	Class 2219	87.80

III. Particulars of Holding, Subsidiary and Associate Companies:

S. No.	Name and Address of the Company	CIN	Holding/ Subsidiary/ Associate	% of Shares held	Applicable Section
1.	M/s Shubham Electrochem Ltd.	U34301DL1988PLC033788	Subsidiary	100%	87(ii)

IV. Share Holding Pattern:

(Equity Share Capital Breakup as percentage of Total Equity)

(a) Category-wise Share Holding for the year ended 31st March, 2017-

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoter									
(1) Indian									
a. Individual/HUF	65,18,640	-	65,18,640	16.05	65,18,640	-	65,18,640	16.05	-
b. Cent. Govt.	-	-	-	-	-	-	-	-	-
c. State Govt.	-	-	-	-	-	-	-	-	-
d. Bodies Corp.	39,65,866	-	39,65,866	9.77	39,65,866	-	39,65,866	9.77	-
e. Bank/ FI	-	-	-	-	-	-	-	-	-
f. Any Other	-	-	-	-	-	-	-	-	-
Sub-Total- A(1)	1,04,84,506	-	1,04,84,506	25.82	1,04,84,506	-	1,04,84,506	25.82	-
(2) Foreign									
a. NRI- Individual	-	-	-	-	-	-	-	-	-
b. Other Individual	-	-	-	-	-	-	-	-	-
c. Body Corp.	-	-	-	-	-	-	-	-	-
d. Bank/ FI	-	-	-	-	-	-	-	-	-
e. Any	-	-	-	-	-	-	-	-	-
Sub Total- A (2)	-	-	-	-	-	-	-	-	-
Total SH of Promoter A (1+2)	1,04,84,506	-	1,04,84,506	25.82	1,04,84,506	-	1,04,84,506	25.82	-
B. Public Shareholding									
(1) Institution									
a. Mutual Funds	-	-	-	-	-	-	-	-	-
b. Bank/ FI	2,00,000	-	2,00,000	0.49	2,00,000	-	2,00,000	0.49	-
c. Cent. Govt.	-	-	-	-	-	-	-	-	-
d. State Govt.	-	-	-	-	-	-	-	-	-
e. Venture Capital	-	-	-	-	-	-	-	-	-
f. Insurance Co.	-	-	-	-	-	-	-	-	-
g. FII's/FPIs	72,37,019	-	72,37,019	17.81	72,32,275	-	72,32,275	17.81	-
h. Foreign Venture Capital Fund	-	-	-	-	-	-	-	-	-
i. Other	-	-	-	-	-	-	-	-	-
Share issued to Custodian for GDRs of Demerged Company	-	-	-	-	-	-	-	-	-
Sub-Total-B (1)	74,37,019	-	74,37,019	18.30	74,32,275	-	74,32,275	18.30	-
(2) Non Institution									
a. Body Corp.									
i. Indian	44,53,692	-	44,53,692	10.97	43,26,973	-	43,26,973	10.65	(0.32)
ii. Overseas	1,58,88,591	-	1,58,88,591	39.13	1,58,88,591	-	1,58,88,591	39.12	-

b.	Individual									
i.	Individual shareholders holding nominal share capital upto Rs. 2 lakh	14,50,294	33,406	14,83,700	3.65	15,33,024	33,406	15,66,430	3.85	0.20
ii.	Individual shareholders holding nominal share capital in excess of Rs 2 lakh	7,94,164	-	7,94,164	1.96	8,25,122	-	8,25,122	2.03	0.08
c.	Other									
i.	Non Resident Indian	30,552	-	30,552	0.08	24,370	-	24,370	0.06	(0.02)
ii.	Clearing Member/ Broker/Margin Trading	37,776	-	37,776	0.09	61,733	-	61,733	0.15	0.06
	Sub-Total-B (2)	2,26,55,069	33,406	2,26,88,475	55.88	2,26,55,069	33,406	2,26,93,219	55.88	-
	Total SH of Public (other than GDRs) B (1+2)	3,00,92,088	33,406	3,01,25,494	74.18	3,00,92,088	33,406	3,01,25,494	74.18	-
C. Shares held by Custodian for GDRs & ADRs										
(1)	Promoter and Promoter Group	-	-	-	-	-	-	-	-	-
(2)	Public	-	-	-	-	-	-	-	-	-
	Total SH issued against GDRs C	-	-	-	-	-	-	-	-	-
	Grand Total (A+B+C)	4,05,76,594	33,406	4,06,10,000	100.00	4,05,76,594	33,406	4,06,10,000	100.00	-

(b) Shareholding of Promoters-

S. No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
1.	Mr. Sachin Agarwal	30,89,240	7.61	-	30,89,240	7.61	-	-
2.	Mrs. Shikha Agarwal	34,29,400	8.44	-	34,29,400	8.44	-	-
3.	Spring Infradev Ltd.	4,97,080	1.22	-	4,97,080	1.22	-	-
4.	S. E. Micro Housing Finance Pvt. Ltd.	10,35,000	2.55	-	10,35,000	2.55	-	-
5.	Gajodhari Chemicals Pvt. Ltd.	61,756	0.15	-	61,756	0.15	-	-
6.	Agrim Marketing Pvt. Ltd.	91,000	0.22	-	91,000	0.22	-	-
7.	Baba Herbals Pvt. Ltd.	60,800	0.15	-	60,800	0.15	-	-
8.	Diamond Infradev Pvt. Ltd.	1,10,000	0.27	-	1,10,000	0.27	-	-
9.	Bhavya Electronics and Networks Pvt. Ltd.	62,608	0.15	-	62,608	0.15	-	-
10.	Suprteck Printing Pvt. Ltd	20,47,622	5.04	-	20,47,622	5.04	-	-
	Total	1,04,84,506	25.82	-	1,04,84,506	25.82	-	-

(c) Change in Promoters' Shareholding-

There was no change in the shareholding of promoter group during the year.

(d) Shareholding Pattern of top ten Shareholders-

(Other than Directors, Promoters and Holders of GDRs and ADRs)

S. No.	Name of Top 10 Shareholder	Shareholding at the beginning of the year (01.04.2016)		Date wise increase/decrease in Shareholding		Cumulative shareholding during the year	Shareholding at the end of the year (31.03.2017)	
		No. of Shares	%				No. of Shares	%
1.	M/s Auctor Investments Limited	37,95,504	9.35	Shares	—	37,95,504	37,95,504	9.35
				Date	—			
				Reason	—			
2.	M/s Heshika Growth Fund	37,95,504	9.35	Shares	—	37,95,504	37,95,504	9.35
				Date	—			
				Reason	—			
3.	M/s Plutus Terra India Fund	37,43,581	9.22	Shares	—	37,43,581	37,43,581	9.22
				Date	—			
				Reason	—			
4.	M/s Antara India Evergreen Fund Ltd	33,46,493	8.24	Shares	—	33,46,493	33,46,493	8.24
				Date	—			
				Reason	—			
5.	M/s Davos International Fund	29,29,449	7.21	Shares	—	29,29,449	29,29,449	7.21
				Date	—			
				Reason	—			
6.	M/s Elara India Opportunities Fund Limited	21,44,704	5.28	Shares	—	21,44,704	21,44,704	5.28
				Date	—			
				Reason	—			
7.	M/s Kuvera Fund Limited	16,29,760	4.01	Shares	1000	16,28,760	16,25,016	4.00
				Date	24.02.17			
				Reason	Sell			
				Shares	3744	16,25,016		
				Date	03.03.17			
Reason	Sell							
8.	M/s Zeal Professional Services Pvt. Ltd.	13,86,000	3.41	Shares	—	13,86,000	13,86,000	3.41
				Date	—			
				Reason	—			
9.	M/s Sparrow Asia Diversified Opportunities Fund	12,07,509	2.97	Shares	—	12,07,509	12,07,509	2.97
				Date	—			
				Reason	—			
10.	M/s Antara India Evergreen Fund Ltd	4,75,615	1.17	Shares	—	4,75,615	4,75,615	1.17
				Date	—			
				Reason	—			

(e) Shareholding of Directors and Key Managerial Personnel-

Directors and Key Managerial Personnel of the Company did not hold shares in the Company during the financial year.

V. Indebtedness:

Indebtedness of the Company including interest outstanding/accrued but not due for payment-

(Rs. in Lakhs)

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i. Principal Amount	2,359.89	2,378.20	-	4,738.09
ii. Interest due but not paid	-	-	-	-
iii. Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	2,359.89	2,378.20	-	4,738.09
Change in Indebtedness during the financial year				
i. Addition	-	1138.75	-	1138.75
ii. Reduction	341.35	-	-	341.35
Net Change	341.35	899.00	-	97.40
Indebtedness at the end of the financial year				
i. Principal Amount	2018.54	3516.95	-	5535.49
ii. Interest due but not paid	-	-	-	-
iii. Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	2018.54	3516.95	-	5535.49

VI. Remuneration of Directors and Key Managerial Personnel:

A. Remuneration to Managing Director, Whole-time Directors and/or Manager-

(Rs. in Lakh)

S. No.	Particulars of Remuneration	Mr. Ravindra Agrawal (Managing Director)	Total Amount
1.	Gross salary (Per Annum)		
	i. Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	22.51	22.51
	ii. Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-
	iii. Profits in lieu of salary under	-	-
2.	Stock Option	-	-
3.	Sweat Equity	-	-
4.	Commission	-	-
5.	Others	-	-
	Total	22.51	22.51
	Total (A)		22.51
	Ceiling as per the Act	Above remuneration to Managing Director is paid as per Schedule V of the Companies Act, 2013	

B. Remuneration to other directors-

S. No.	Particulars of Remuneration	Name of Director				Total
		Mr. Rupinder Singh	Mrs. Anshu Gupta	Mr. Dharm Vir Gupta	Mr. Diwan Chand Arya	
1.	Independent Directors					
	Fee for attending board committee meeting	Nil	Nil	Nil	Nil	Nil
	Commission	Nil	Nil	Nil	Nil	Nil
	Others	Nil	Nil	Nil	Nil	Nil
	Total (1)	Nil	Nil	Nil	Nil	Nil
2.	Other Non-Executive Director	Dr. Arun Gopal Agarwal				
	Fee for attending board committee meeting	Nil				
	Commission	Nil				
	Others	Nil				
	Total (2)	Nil				
	Total (B) = (1+2)					Nil
Total Managerial Remuneration= A+B						22.51
Remuneration paid to directors is as per the provisions of Companies Act, 2013						

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD-

(Rs. in Lakhs)

S. No.	Particulars of Remuneration	Key Managerial Personnel				Total
		Mr. Manendra Singh (CS) upto 24.01. 2017	Ms. Lipika Garg (CS) from 25.01. 2017	Mr. Nikhil Bansal (CFO) upto 24.01. 2017	Mr. Hemant Kumar Jain (CFO) from 25.01. 2017	
1.	Gross salary Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961 Value of perquisites u/s 17(2) Income-tax Act, 1961 Profits in lieu of salary under section 17(3) Income-tax Act, 1961	7.05	0.56	5.59	1.74	14.94
2.	Stock Option	-	-	-	-	-
3.	Sweat Equity	-	-	-	-	-
4.	Commission	-	-	-	-	-
5.	Others	-	-	-	-	-
	Total	7.05	0.56	5.59	1.74	14.94

VII. Penalties/Punishment/Compounding of Offences:

S. No.	Type	Section of the Companies Act	Brief Description	Details of Penalty/ Punishment compounding fee imposed	Authority (RD/NCLT/ COURT)	Appeal made, if any
1.	Company Penalty Punishment Compounding			None		
2.	Directors Penalty Punishment Compounding			None		
3.	Other Officers in Default Penalty Punishment Compounding			None		

Place : New Delhi
Date : 08th August, 2017

For and on behalf of the Board of
S. E. Power Limited
Sd/-
(DR. ARUN GOPAL AGARWAL)
Chairman
DIN : 00374421